

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 7366187                                       |
| <b>Application Number:</b>                  | 10767546                                      |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 6583  |
| <b>Title of Invention:</b>                  | System and method for routing a trading order |
| <b>First Named Inventor/Applicant Name:</b> | Thomas J. Daley                               |
| <b>Customer Number:</b>                     | 63710   |
| <b>Filer:</b>                               | Glen Farbanish/LAURA ALTO                     |
| <b>Filer Authorized By:</b>                 | Glen Farbanish                                |
| <b>Attorney Docket Number:</b>              | 04-6163                                       |
| <b>Receipt Date:</b>                        | 07-APR-2010                                   |
| <b>Filing Date:</b>                         | 29-JAN-2004                                   |
| <b>Time Stamp:</b>                          | 11:48:50                                      |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)                   |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$1110          |
| RAM confirmation Number                  | 7847            |
| Deposit Account                          | 503938          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

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## File Listing:

| Document Number  | Document Description | File Name                    | File Size(Bytes)/ Message Digest                                  | Multi Part /.zip | Pages (if appl.) |
|--|----------------------|------------------------------|---|------------------|------------------|
| 1  |                      | 04-6163_100407_Amendment.pdf | 56836<br><small>511a617f6d36a79b6db4a162ae425a789a170963c</small> | yes              | 10               |
| <b>Multipart Description/PDF files in .zip description</b> |                      |                              |   |                  |                  |
| <b>Document Description</b>                                |                      |                              | <b>Start</b>  | <b>End</b>       |                  |
| Amendment/Req. Reconsideration-After Non-Final Reject      |                      |                              | 1   | 1                |                  |
| Claims   |                      |                              | 2   | 5                |                  |
| Applicant Arguments/Remarks Made in an Amendment           |                      |                              | 6   | 10               |                  |

### Warnings:

### Information:

|   |                         |              |   |    |   |
|---|-------------------------|--------------|---|----|---|
| 2 | Fee Worksheet (PTO-875) | fee-info.pdf | 29970<br><small>dc5313496a0a000b55a2e5177df1dfb1b3e3a</small> | no | 2 |
|---|-------------------------|--------------|---|----|---|

### Warnings:

### Information:

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|-------------------------------------|-------|
| <b>Total Files Size (in bytes):</b> | 86806 |
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.